

## Better Advance Care Planning: Advance Directives and POLST

Thaddeus Mason Pope, J.D., Ph.D.

For Bayada Nurses and

Widener University School of Nursing

Camden, NJ • June 7, 2011

Delaware law professor

New Jersey APNs

“NJSNA supports education of nurses which enables them to:”

“Understand the **Federal and State requirements** for Advance Directives”

“Be prepared to talk to the client and family about **advance directives**”



## N.J. S.B. 2197

“Board of Nursing shall require that a person certified as an advanced practice nurse . . . complete **two credits** of educational programs . . . related to **end-of-life care**”



Passed out of committee  
May 12

Must still go to  
Senate,  
House,  
Governor

Prudent

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Required?

Not  
all  
law

End-of-Life  
Care in New  
Jersey

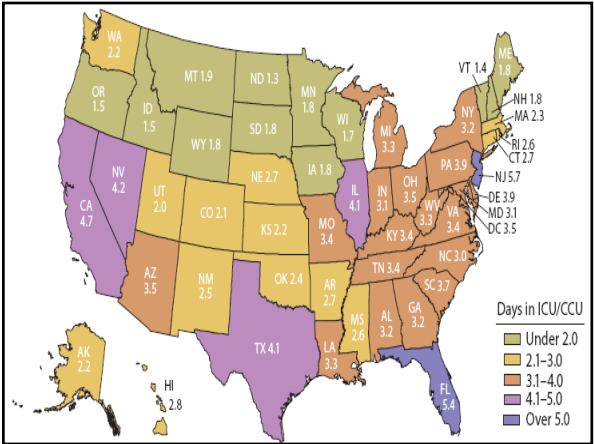
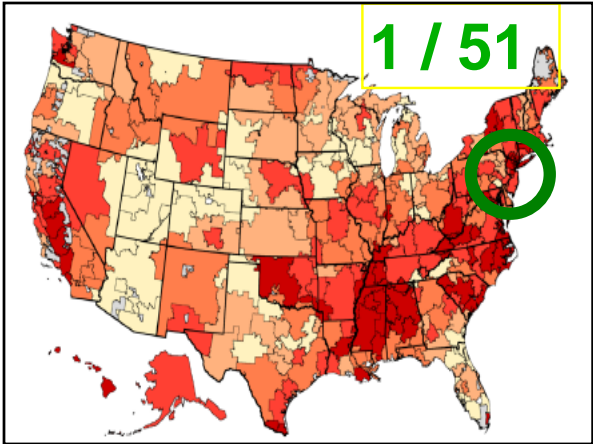
45 min  
15 min Q&A  
-- Break --  
45 min  
15 min Q&A

THE DARTMOUTH INSTITUTE  
FOR HEALTH POLICY & CLINICAL PRACTICE



Where Knowledge Informs Change

A Report of the Dartmouth Atlas Project



Total physician visits* per decedent during the last 2 years of life	75.9 visits	1 of 51
Medical specialist visits* per decedent during the last 2 years of life	42.7 visits	1 of 51
Total physician visits* per decedent during last 6 months of life	41.5 visits	1 of 51
Medical Specialist visits* per decedent during the last 6 months of life	25.0 visits	1 of 51
Percent of decedents seeing 10 or more different physicians* during the last 6 months of life	38.7%	1 of 51

## Compared to the average American

In last 6 months, NJ

30% more days in hospital

43% more physician visits

44% more days in the ICU

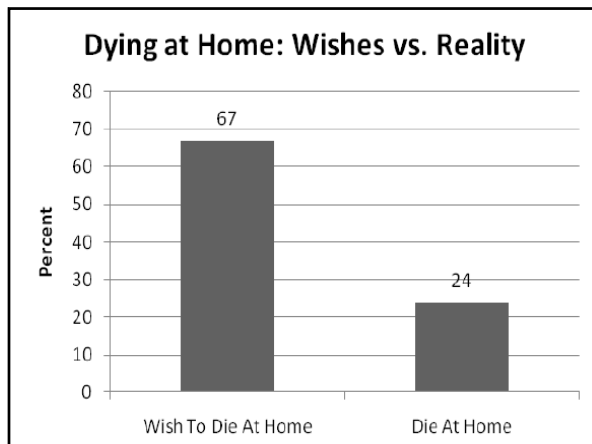
$$\text{Value} = \frac{\text{Quality}}{\text{Cost}}$$

Treatment  
is  
**un**wanted

**71%:** “More important to enhance the **quality** of life for seriously ill patients, even if it means a **shorter life.**”

*National Journal* (Mar. 2011)

Question and Responses <sup>a</sup>	Public, % (n=1006)	Professionals, % (n=774)
If doctors believe there is no hope of recovery, which would you prefer?		
Life-sustaining treatments should be stopped and should focus on comfort	72.8	92.6
All efforts should continue indefinitely	20.6	2.5



84% would trade  
**length** of life  
for  
**quality** of life

**N.J. S.B. 2199**  
The current health care system in New Jersey often **fails** to meet the special needs of persons who are approaching the end of life

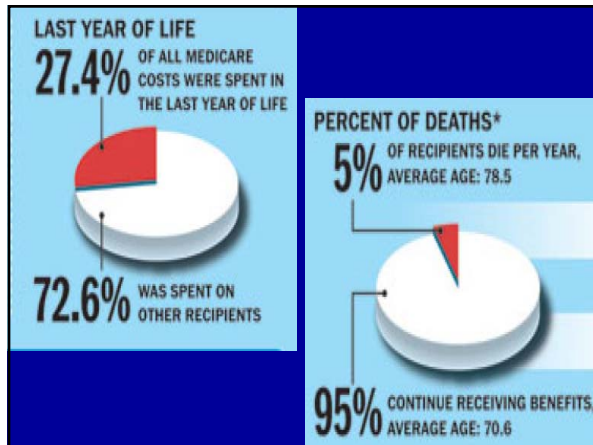
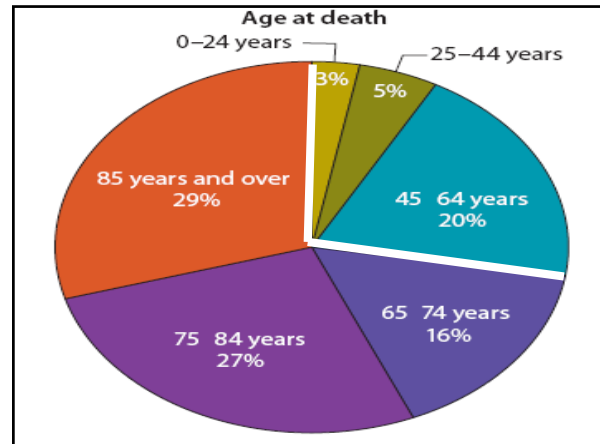
by depriving them of the opportunity that they earnestly desire to spend their final months free of pain, in familiar surroundings, together with their friends and families,

instead of being tethered to tubes and other medical apparatus in an intensive care unit or other acute care hospital setting

**Harm to family**  
Emotional  
Economic

## Harm to others

Limited ICU beds  
ER boarding  
Antibiotic resistance  
Moral distress



United States Government Accountability Office

GAO

Testimony  
Before the Committee on the Budget,  
U.S. Senate

FOR RELEASE ON DECEMBER 15, 2008 AT 10:00 a.m. EST  
Tuesday, January 20, 2009

LONG-TERM FISCAL  
OUTLOOK

Action Is Needed to Avoid  
the Possibility of a Serious  
Economic Disruption in the  
Future

A  
CBO  
PAPER

JANUARY 2008

Technological  
Change and the  
Growth of  
Health Care  
Spending

**Not** public policy

**Not** rationing

Rights patients have  
regarding their  
medical treatment

under New Jersey law  
under federal law

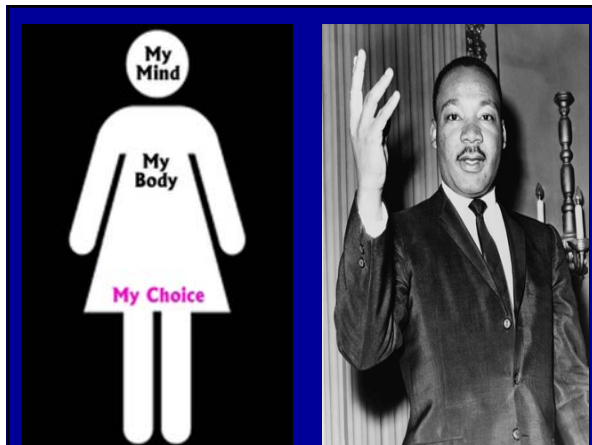
# Rise of Bioethics

1960s

CPR

Dialysis

Mechanical ventilators



**Salgo v. Stanford**  
(Cal. App. 1957)

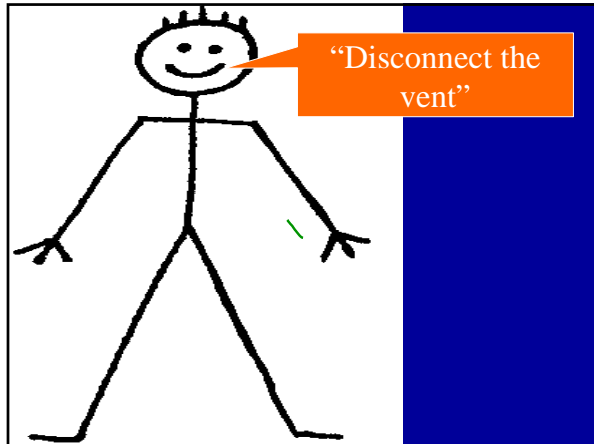
**Natanson v. Kline**  
(Kan. 1960)

“At common law, ...the logical corollary of the doctrine of informed consent is that the patient generally possesses the **right not to consent**, that is, to refuse treatment.”

- *Cruzan v. Missouri DOH* (1990)  
(Rehnquist, C.J.)

Easier situation

Contemporaneous  
patient refusal



More common, more complicated

Patients lack capacity

# Capacity

Ability to **understand** the significant benefits, risks and alternatives to proposed health care

Ability to **make and communicate** a decision.

## Competence

Capacity

Task specific

Fluctuates over time

## Lane v. Candura (Mass. 1978)

77yo Rosaria  
Candura

Gangrenous  
right foot and  
leg

Refuse consent  
for amputation



### In re Maynes-Turner (Fla. App. 1999)

**Doc:** "Cognitively she does reasonably well. She would seem to possess the necessary knowledge that would be required for restoration."

**Doc:** "She might pose significant risks for herself on the basis of those decisions that she would make."

## DHS v. Northern (Tenn. 1978)

Mary Northern 72yo  
Admitted Nashville Gen.

Gangrene both feet

Amputation required to  
save life



### Soft paternalism

Cognitive or volitional defect

### Hard paternalism

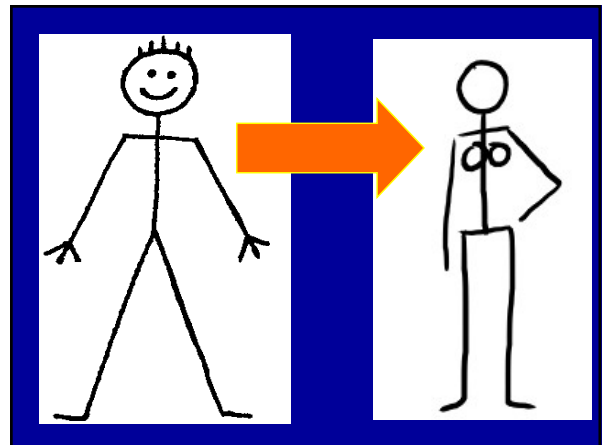
No cognitive or volitional defect  
Restrict autonomy because values



Patient not lose autonomy right

Who decides

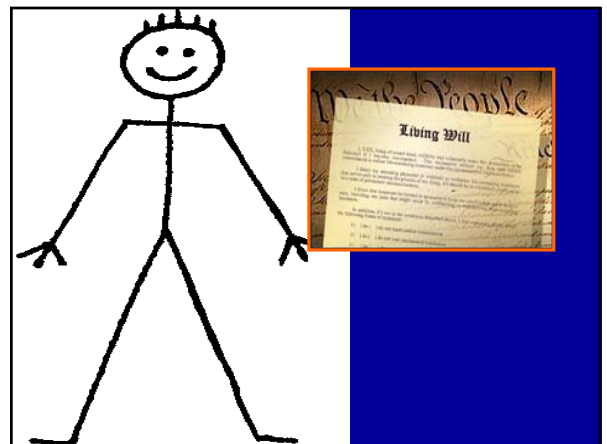
What standards



**Court**-appointed "guardian"

**Patient**-designated "agent"

**Default** "proxy" "surrogate"



# Advance Directives

**Advance directive**  
Document that instructs health care providers about your care when you cannot

“Springing”  
**Only** effective when you lack capacity



New Jersey Advance Directive for Health Care Act (1991)

**Type 1 of 3**  
Proxy directive  
“health care representative”  
“durable power of attorney for health care”  
“agent”

A) CHOOSING A HEALTH CARE REPRESENTATIVE:  
I, \_\_\_\_\_, hereby designate \_\_\_\_\_,  
of \_\_\_\_\_,  
(home address and telephone number of health care representative)  
as my health care representative to make any and all health care decisions for me, including decisions to accept or

B) **ALTERNATE REPRESENTATIVES:** If the person I have designated above is unable, unwilling or unavailable to act as my health care representative, I hereby designate the following person(s) to act as my health care representative, in the order of priority stated:

1. name _____	2. name _____
address _____	address _____
city _____ state _____	city _____ state _____
telephone _____	telephone _____

C) **SPECIFIC DIRECTIONS:** Please initial the statement below which best expresses your wishes.

\_\_\_\_ My health care representative is authorized to direct that artificially provided fluids and nutrition, such as by feeding tube or intravenous infusion, be withheld or withdrawn.

\_\_\_\_ My health care representative does not have this authority, and I direct that artificially provided fluids and nutrition be provided to preserve my life, to the extent medically appropriate.

*(If you have any additional specific instructions concerning your care you may use the space below or attach an additional statement.)*

A proxy shall act in accord

“directive . . . decisions”

“the maker’s . . . wishes”

“maker’s best interests”

**Type 2 of 3**

Instructional directive

“living will”

Initial ONE of the following two statements with which you agree:

1. \_\_\_\_ I direct that all medically appropriate measures be provided to sustain my life, regardless of my physical or mental condition

2. \_\_\_\_ There are circumstances in which I would not want my life to be prolonged by further medical treatment. In these circumstances, life-sustaining measures should not be initiated and if they have been, they should be discontinued. I recognize that this is likely to hasten my death. In the following, I specify the circumstances in which I would choose to forego life-sustaining measures.

**Type 3 of 3**

Combined directive

Both proxy

And instructional

## Review

Decade  
Death (family member)  
Divorce  
Diagnosis (new)  
Decline (ADL)

# Compliance: Key sources

TJC Accreditation standards  
Medicare COPs  
NJ Advance Directives for  
Health Care Act

TJC  
Patient Rights  
RI.01.01.05



# Patient Self- Determination Act (PSDA)

## When

After *Cruzan* (June 1990)  
Sen. John Danforth (Mo.)



## What

Agnostic as to substantive rights

Assure compliance with state law

Promote ACP

## Who

Facilities  
receiving  
Medicare  
reimbursement



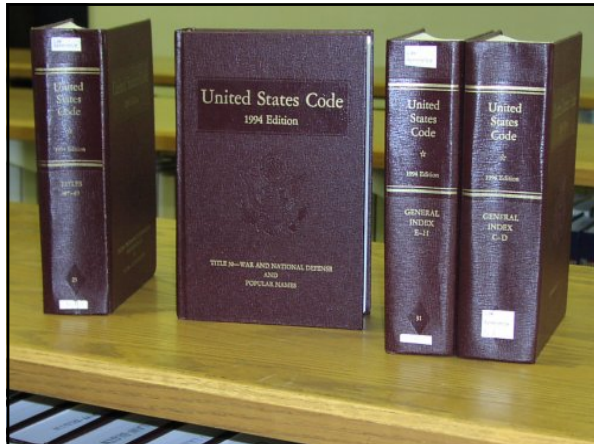
Centers for Medicare &  
Medicare Services

Agency (inside DHHS)

Implements PSDA with  
conditions of participation  
(COP)

COPs apply to **all**  
patients in facility

Not just the Medicare  
patients



Title 42--Public Health	
CHAPTER IV--CENTERS FOR MEDICARE & MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES (CONTINUED)	
PART 482--CONDITIONS OF PARTICIPATION FOR HOSPITALS	
	482.1 Basis and scope.
	482.2 Provision of emergency services by nonparticipating hospitals.
	482.11 Condition of participation: Compliance with Federal, State and local laws.
	482.12 Condition of participation: Governing body.
	482.13 Condition of participation: Patient's rights.
	482.21 Condition of participation: Quality assessment and performance improvement program.
	482.22 Condition of participation: Medical staff.

<b>§ 482.13</b>	<b>42 CFR Ch. IV (10-1-08 Edition)</b>
<p>emergencies and referral when appropriate.</p> <p>[51 FR 22042, June 17, 1986; 51 FR 27847, Aug. 4, 1986, as amended at 53 FR 6549, Mar. 1, 1988; 53 FR 18987, May 26, 1988; 56 FR 8852, Mar. 1, 1991; 56 FR 23022, May 20, 1991; 59 FR 46514, Sept. 8, 1994; 63 FR 20130, Apr. 23, 1998; 63 FR 33874, June 22, 1998; 68 FR 53262, Sept. 9, 2003]</p> <p><b>§ 482.13 Condition of participation: Patient's rights.</b></p> <p>A hospital must protect and promote each patient's rights.</p> <p>(a) <b>Standard: Notice of rights</b>—(1) A hospital must inform each patient, or when appropriate, the patient's representative (as allowed under State law), of the patient's rights, in advance of furnishing or discontinuing patient care whenever possible.</p>	<p>decisions regarding his or her care. The patient's rights include being informed of his or her health status, being involved in care planning and treatment, and being able to request or refuse treatment. This right must not be construed as a mechanism to demand the provision of treatment or services deemed medically unnecessary or inappropriate.</p> <p>(3) The patient has the right to formulate advance directives and to have hospital staff and practitioners who provide care in the hospital comply with these directives, in accordance with § 489.100 of this part (Definition), § 489.102 of this part (Requirements for providers), and § 489.104 of this part (Effective dates).</p> <p>(4) The patient has the right to have</p>

<b>CMS Manual System</b>	Department of Health & Human Services (DHHS)
<b>Pub. 100-07 State Operations Provider Certification</b>	Centers for Medicare & Medicaid Services (CMS)
<b>Transmittal 37</b>	<b>Date: October 17, 2008</b>
<b>SUBJECT: Revise Appendix A, "Interpretive Guidelines for Hospitals"</b>	
<p><b>I. SUMMARY OF CHANGES:</b> Appendix A is being revised to reflect amended regulations and survey and certification policy issuances concerning the Conditions of Participation for Hospitals, 42 CFR Part 482. It also contains new guidance related to the Patients' Rights Final Rule, 42 CFR 482.13(e), (f), and (g), published in the Federal Register December 8, 2006 (71 FR 71378). In addition, Regulatory text that appears in brackets was included in a previous tag, but is repeated for clarity and accuracy in representing the regulatory citation.</p>	

HOSPITAL INTERPRETIVE GUIDELINES--PATIENTS' RIGHTS		
TAG NUMBER	REGULATION	GUIDANCE TO SURVEYORS
A 750	<b>§482.13 Condition of participation: Patients' rights.</b> A hospital must protect and promote each patient's rights.	<b>Interpretive Guidelines: §482.13.</b> These requirements apply to all Medicare or Medicaid-participating hospitals including short-term, psychiatric, rehabilitation, long-term, children's and alcohol-drug, whether or not they are accredited. This rule does not apply to psychiatric facilities for individuals under age 21, to residential treatment centers (unless these services are provided in a hospital setting), nor to Critical Access hospitals (See Social Security Act (the Act) §1611(a)).
A 751	(a) <b>Standard: Notice of rights</b> (1) A hospital must inform each patient, or when appropriate, the patient's representative (as allowed under State law), of the patient's rights, in advance of furnishing or discontinuing patient care whenever possible.	This regulation requires that whenever possible, the hospital informs each patient of his or her rights in language that the patient understands. The hospital has the responsibility to establish policies and procedures that effectively ensure that patients and/or their representatives have the information necessary to exercise their rights under the Act. This responsibility includes and is not limited to providing all notices required by statute and regulation regarding patients' rights. For example, the patient must be given notice of the rights afforded to him/her by the provider agreement, including the right to an advance directive and notice of non-coverage (see 42 CFR part 489), as well as the rights listed in this CoP. Depending on other factors, the hospital may have existing mechanisms for notifying patients of their rights. The hospital may decide it is most effective to bundle the patients' rights and advance directives notice with these existing notices.

**New Jersey  
Advance Directive  
for Health Care  
Act (1991)**

Assure New Jerseyans gets rights **under New Jersey law**

- Notify / inform
- Document
- Respect
- Education

Mirrored in licensure code

e.g. home health  
N.J.A.C. 8:42-6.3



On admission

Determine if patient has AD

If yes →

Get it  
Place in chart

If no →

Give assistance on request  
Give information about right to accept, refuse

## Give information

In way patient understand  
Account for age, vision,  
literacy

## Documentation

P sign & acknowledge

# After admission

Give option to review, revise AD

Honor AD

Unless conscience objection per  
state law

Unless other exception per state  
law

Do not make access to care **depend** on  
whether have AD

## Respect AD – or else

TJC

CMS

State discipline

Battery

Informed consent

IIED

# Education

Staff

To ensure compliance

Community

To ensure reflection

To ensure documentation



Policies &  
procedures

Verbal AD  
When operative  
Objections  
Revocation

The way things  
are **supposed**  
to work



**Too limited**  
EOL care  
discussion

**JAMA**<sup>®</sup> Associations Between End-of-Life Discussions, Patient  
Mental Health, Medical Care Near Death, and Caregiver  
Bereavement Adjustment  
Online article and related content  
current as of October 8, 2008.  
Alexi A. Wright; Baohui Zhang; Alaka Ray; et al.  
JAMA. 2008;300(14):1665-1673 (doi:10.1001/jama.300.14.1665)

**EOL discussion**  
less  
aggressive  
medicine

Arch Intern Med. 2009;169(5):480-488

Variable	Discussed EOL Care Preferences With Physician	
	Yes (n=75)	No (n=70)
Medical care received during the last week of life, No. (%)		
Intensive care unit stay	2 (2.7)	10 (14.3)
Ventilator use	1 (1.3)	10 (14.3)
Resuscitation	1 (1.3)	6 (8.6)
Chemotherapy	4 (5.3)	7 (10.0)
Inpatient hospice used	8 (10.7)	5 (7.1)
Inpatient hospice stay $\geq$ 1 wk	4 (5.3)	2 (2.9)
Outpatient hospice used	58 (77.3)	40 (57.1)
Outpatient hospice stay $\geq$ 1 wk	52 (69.3)	34 (48.6)
Place of death, No. (%) <sup>b</sup>		
Intensive care unit	2 (2.9)	9 (13.2)
Hospital	15 (21.7)	18 (26.5)
Inpatient hospice	5 (7.2)	3 (4.4)
Home	47 (68.1)	38 (55.9)

**JAMA** Associations Between End-of-Life Discussions, Patient Mental Health, Medical Care Near Death, and Caregiver Bereavement Adjustment  
 Alexi A. Wright, Baohui Zhang, Alaka Ray, et al.  
 Online article and related content current as of October 8, 2008.  
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**EOL discussion**

- Earlier hospice referral
- Better patient QOL
- Better family bereavement

**Not happening**

**ELNEC** CONNECTIONS - WINTER 2011  
 Advancing End-of-Life Nursing Care  
 END-OF-LIFE NURSING EDUCATION CONSORTIUM


**EPEC**<sup>®</sup>  
 Education in Palliative and End-of-life Care

**EPERC** End of Life / Palliative Education Resource Center

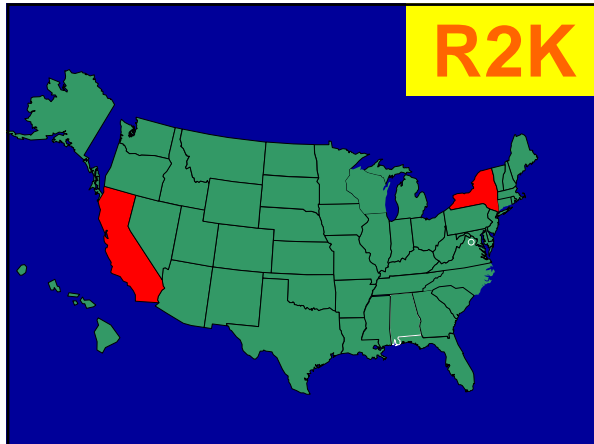
**ASCO**<sup>®</sup> American Society of Clinical Oncology  
 Making a world of difference in cancer care

- Limited effectiveness
- Side effects
- Options

**Shared Decision-Making in the Appropriate Initiation of and Withdrawal from Dialysis**  
 Clinical Practice Guideline  
 Second Edition



**RPA**  
 Renal Physicians Association



Benefits  
Risks  
Alternatives  
**Financial**

**Largey v. Rothman**  
Before 1988  
Professional standard  
After 1988  
Material risk standard



Lack of  
awareness

**Limits of  
Advance  
Directives**

Not completed  
 Not found  
 Not informed  
 Not clear

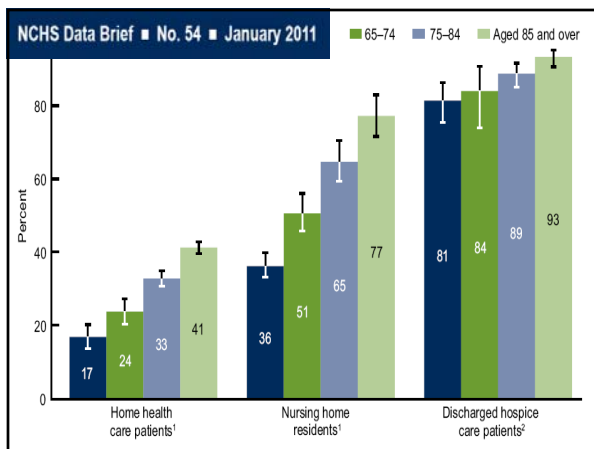
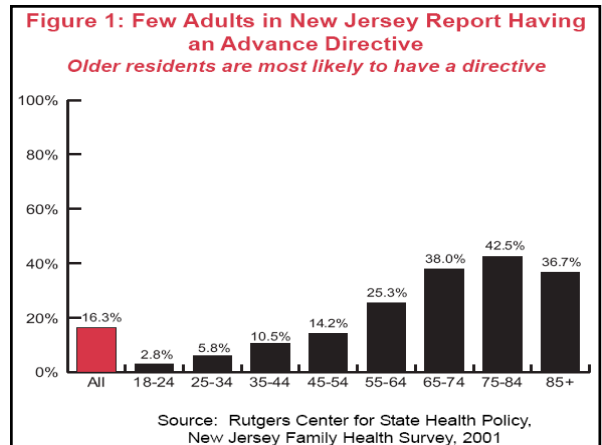
Not  
 completed

**ABA**  
 AMERICAN BAR ASSOCIATION  
 GOVERNMENTAL AFFAIRS OFFICE • 140 FIFTEENTH STREET, NW • WASHINGTON, DC 20005-1022 • 202.682-1700

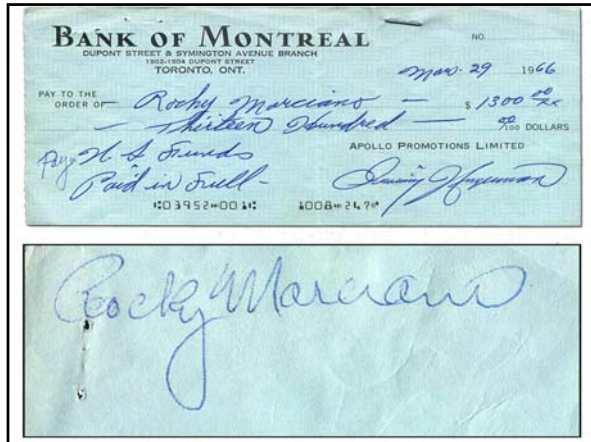
**30%**

**AARP**

**28%**




Not  
 found



65-76% of physicians whose patients have advance directives do not know they exist

U.S. Department of Health and Human Services  
 Assistant Secretary for Planning and Evaluation  
 Office of Disability, Aging and Long-Term Care Policy



**Individuals fail to make & distribute copies**

- Primary agent
- Alternate agents
- Family members
- PCP
- Specialists
- Attorney
- Clergy
- Online registry

Not informed



**Enough**

**THE FAILURE OF THE LIVING WILL**

by ANGELA FAGERLIN AND CARL E. SCHNEIDER

In pursuit of the dream that patients' exercise of autonomy could extend beyond their span of competence, living wills have passed from controversy to conventional wisdom, to widely promoted policy. But the policy has not produced results, and should be abandoned.

HASTINGS CENTER REPORT March/April 2004

*Annals of Internal Medicine* PERSPECTIVE

### Controlling Death: The False Promise of Advance Directives

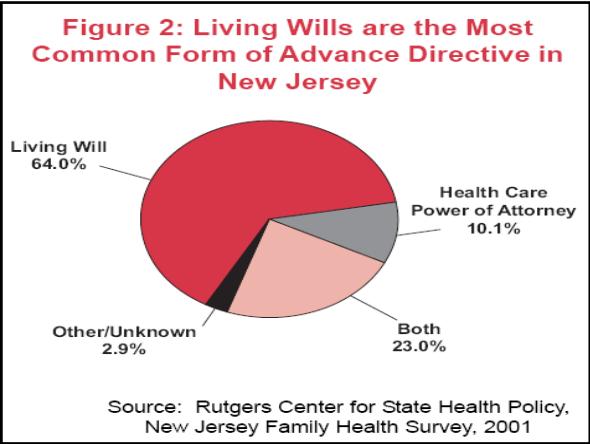
Henry S. Perkins, MD

Advance directives promise patients a say in their future care but actually have had little effect. Many experts blame problems with completion and implementation, but the advance directive concept itself may be fundamentally flawed. Advance directives simply presuppose more control over future care than is realistic. Medical crises cannot be predicted in detail, making most prior instructions difficult to adapt, irrelevant, or even misleading. Furthermore, many proxies either do not know patients' wishes or do not pursue those wishes effectively. Thus, unexpected problems arise often to defeat advance directives, as the case in this paper illustrates. Because advance directives offer only limited benefit, advance care planning

should emphasize not the completion of directives but the emotional preparation of patients and families for future crises. The osteopath Albert Camus might suggest that physicians should warn patients and families that momentous, unforeseeable decisions lie ahead. Then, when the crisis hits, physicians should provide guidance; should help make decisions despite the inevitable uncertainties; should share responsibility for these decisions; and, above all, should courageously see patients and families through the fearsome experience of dying.

Am Intern Med. 2007;147:81-87.  
For author affiliation, see end of text.

www.ama-assn.org



Not  
clear

If \_\_\_\_\_,  
then \_\_\_\_\_

**Trigger terms vague**

“Reasonable expectation of recovery”

75%	51%
25%	10%

Plus: prognosis uncertain

**Preferences vague**

“No ventilator”

Ever

Even if temporary

## SITUATION A

If I am in a coma or a persistent vegetative state and, in the opinion of my physician and two consultants, have no known hope of regaining awareness and higher mental functions no matter what is done, then my goals and specific wishes — if medically reasonable — for this and any additional illness would be:

Please check appropriate boxes:

	I want	I want treatment tried. If no clear improvement, stop.	I am undecided	I do not want
1. Cardiopulmonary resuscitation (chest compressions, drugs, electric shocks, and artificial breathing aimed at reviving a person who is on the point of dying).		Not applicable		
2. Major surgery (for example, removing the gallbladder or part of the colon).		Not applicable		
3. Mechanical breathing (respiration by machine, through a tube in the throat).				
4. Dialysis (cleaning the blood by machine or by fluid passed through the belly).				
5. Blood transfusions or blood products.		Not applicable		
6. Artificial nutrition and hydration (given through a tube in a vein or in the stomach).				
7. Simple diagnostic tests (for example, blood tests or x-rays).		Not applicable		
8. Antibiotics (drugs used to fight infection).		Not applicable		
9. Pain medications, even if they dull consciousness and indirectly shorten my life.		Not applicable		

Less  
transactional

More  
discussion

Goals  
Values  
QOL  
Priorities

What makes your life worth living?

How would you like to spend your last days?

What are your spiritual beliefs that might affect treatment choices?

The image shows two toolkits. On the left is the 'FIVE WISHES' toolkit, which includes a checklist for 'MY WISH FOR:' with categories like 'The Person I Want to Make Care Decisions for Me When I Can't', 'The Kind of Medical Treatment I Want or Don't Want', 'How Comfortably I Want to Be', 'How I Want People to Treat Me', and 'What I Want My Loved Ones to Know'. On the right is the 'Good to Go' Toolkit, featuring a cartoon illustration of a car and people, and several small booklets.

compassion & choices  
Support, Education, Advocacy, Choice & Care at the End of Life

More technology  
is the **default**

Patient must  
**opt out**



**Improving  
advance  
directives**

More ACP  
Better  
documentation



**Prompt  
Providers**



**1991**

**Enforce  
PSDA**

THE PATIENT  
SELF-  
DETERMINATION  
ACT  
Meeting the  
Challenges in  
Patient Care  
LAWRENCE P. ULRICH



**Voluntary  
Advance  
Care  
Planning**

Blumenauer  
H.R. 3200  
Sec. 1233

One  
90-minute  
ACP

Nine  
10-minute  
patient  
visits

TODAY  
I WAS TOLD I WAS  
TOO OLD...

**I WAS  
CANCELED**

RATIONED HEALTHCARE  
FOR THE GOOD OF THE COUNTRY

OBAMA-CARE

RED FLAG

THIS PRODUCT IS TOXIC

**OBAMA  
CARE**

© Flag\_This 2009

**SENIORS CHECK IN...  
BUT THEY DON'T CHECK OUT!**

PPACA silent on  
ACP. But does  
cover **annual  
wellness visits.**

Section 4103

DHHS: “Notice of  
Proposed  
Rulemaking:  
Physician Fee  
Schedule” (July 2010)

### **Final Rule (Nov. 2010)**

Defined “VACP” as  
element of annual  
wellness visit



**Lie of the Year:**  
“Death Panels”

A “**quiet**” victory

“The longer this  
goes **unnoticed**,  
the better our  
chances of  
keeping it.”



### **Jan. 2011: Rescind VACP**

“We did not have an  
opportunity to consider . . .  
the wide range of views . . .  
held by a broad range of  
stakeholders”

H. R. 6331

One Hundred Tenth Congress  
of the  
United States of America

AT THE SECOND SESSION

(1) IN GENERAL.—Section 1861(w) of the Social Security Act (42 U.S.C. 1395x(w)) is amended—

(C) by adding at the end the following new paragraph:  
“(3) For purposes of paragraph (1), the term ‘end-of-life planning’ means verbal or written information regarding—

“(A) an individual’s ability to prepare an advance directive in the case that an injury or illness causes the individual to be unable to make health care decisions; and

“(B) whether or not the physician is willing to follow the individual’s wishes as expressed in an advance directive.”.

112TH CONGRESS  
1ST SESSION

**H. R. 1589**

To amend the Social Security Act to provide for coverage of voluntary advance care planning consultation under Medicare and Medicaid, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

APRIL 15, 2011

SECTION 1. SHORT TITLE; FINDINGS; TABLE OF CONTENTS.

(a) SHORT TITLE.—This Act may be cited as the  
“Personalize Your Care Act of 2011”.



**SENATE, No. 2199**

**STATE OF NEW JERSEY**

**214th LEGISLATURE**

INTRODUCED JULY 19, 2010

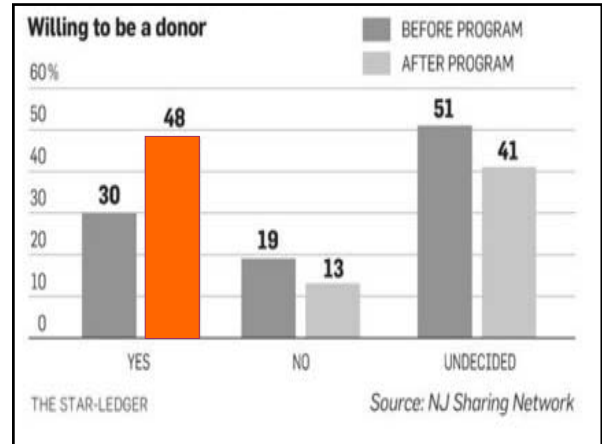
New Jersey Advisory Council on  
22 End-of-Life Care

Sponsored by:

Senator M. TERESA RUIZ

Prompt  
Patients

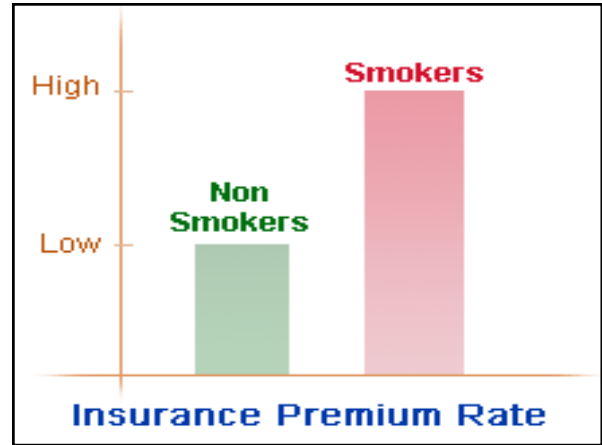




INTRODUCING...  
**VANISHING DEDUCTIBLE**  
Watch your deductible start vanishing.

Get an auto quote

**Safe Driver RECOGNITION**



Advance directive

Lower premiums

**Content agnostic**

Make AD  
available

## Registries

Organ donation

### Sara's Law

April 2011

Effective late 2012

NOKR



# POLST

## POLST

Physician  
Order  
Life  
Sustaining  
Treatment

## POLST

Practitioner  
Order  
Life  
Sustaining  
Treatment



<b>POST</b>	Physician Order for Scope of Treatment
<b>MOST</b>	Medical . . .
<b>COLST</b>	Clinician . . .
<b>MOLST</b>	Medical . . .

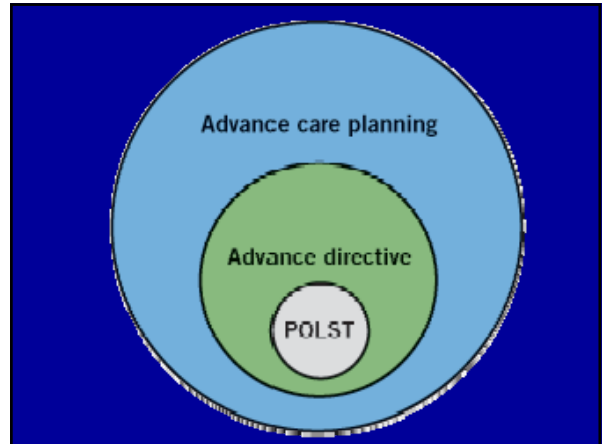
# What is POLST

POLST  
**supplements AD**  
 It does not replace it

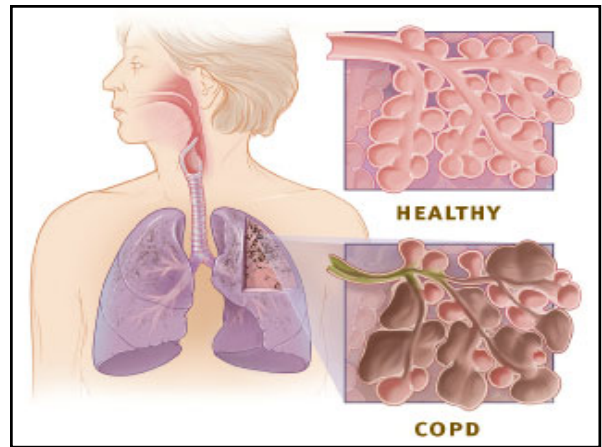
Terminally ill  
 Chronic progressive illness  
 Frailty

For those in last year of life  
 NJ < 5 year  
 Others who want to define care

Differences between POLST and Advance Directives		
Characteristics	POLST Paradigm	Advance Directive
Population	Advanced progressive chronic conditions	All adults
Timeframe	Current care	Future care
Where completed	In medical setting	In any setting
Resulting product	Medical orders (POLST)	Advance directive
Surrogate role	Can do if patient lacks capacity	Cannot do
Portability	Provider responsibility	Patient/family responsibility
Periodic review	Provider responsibility	Patient/family responsibility



About the present  
Here and now



**UNIVERSITY MEDICAL CENTER AT PRINCETON**  
**Practitioner Orders concerning Life-Sustaining Treatment (POLST)**

**UMCP POLST PILOT**

First below these orders, have applied provisions. This is a medical order based on the patient's current medical condition and wishes stated verbally or in written advance directives. Any section not completed orders full treatment for that section.

Send form with person whenever transferred to health facility

**A GOALS OF CARE (See reverse for instructions. This section does not constitute a medical order.)**

**B MEDICAL INTERVENTIONS** (Patient has pulse and/or is breathing. Life-Prolonging Care. Use all medical and surgical interventions as indicated to support life. If a nursing facility, transfer to hospital if indicated. Care setting for code status.)

Limited additional interventions. Use medical treatment, antibiotics, and IV fluids as indicated. Do not include: May use non-invasive positive airway pressure. Generally avoid intubation.

Palliative Care Only (comfort care only) Use respiration by any route, positioning, wound care and other measures as well as comfort. Use oxygen, suction and manage treatment of already existing medical conditions.

**C ARTIFICIALLY ADMINISTERED NUTRITION AND HYDRATION:**

No artificial nutrition by tube.  Defined trial period of artificial nutrition by tube.

Long-term artificial nutrition by tube.

**D CARDIORESPIRATORY RESUSCITATION (CPR):** (Patient has no pulse and/or is not breathing.)

Do Not Attempt Resuscitation/DNAR  Attempt Resuscitation/CPR

**E SIGNATURES:** Top section below verify that these orders are consistent with the patient's medical condition, broad preferences.

Signature of discussion with:  Patient  Legal Guardian  Health Care Agent  Spouse/Other  Parent of Minor  Patient's Advance Directive

PRINT-Physician/PA/NP/CRNA Name: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Physician/PA/CRNA Signature (mandatory): \_\_\_\_\_ Date/Time: \_\_\_\_\_

Patient Address: \_\_\_\_\_ M F

Contact Information

Health Care Decision maker: \_\_\_\_\_ Address: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Health Care Professional Preparing Form: \_\_\_\_\_ Preparer Title: \_\_\_\_\_ Phone Number: \_\_\_\_\_ Date Prepared: \_\_\_\_\_

**Directions for Health Care Professional**

Completing POLST

- Must be completed by a health care professional based on patient preferences and medical indications.
- Use of original form is strongly encouraged. Photocopies and faxes of signed POLST forms may be used.
- Any incomplete section of POLST precludes treatment for that section.

Section A: What are the specific goals that we are trying to achieve in this treatment plan of care? This can be determined by asking the simple question: "What are your hopes for the future?" This can be determined by asking the simple question: "What are your hopes for the future?"

Some examples include but not limited to:

- Longevity, Cure, Respite
- Better Quality of Life
- Use long enough to attend a family event (wedding, birthday, graduation)
- Live without pain, nausea, shortness of breath
- Being, Dining, Gardening, enjoying grandchildren

Section B: Medical providers are encouraged to share information on prognosis in order for the patient to set realistic goals.

Section C: When comfort cannot be achieved in the current setting, the person, including someone with "Palliative Care Only" should be transferred to a setting able to provide comfort (e.g. treatment of a hip fracture).

Section D: If medication to achieve comfort may be appropriate for a person who has chosen "Palliative Care Only," non-invasive positive airway pressure includes continuous positive airway pressure (CPAP), bilevel positive airway pressure (BiPAP), and BiPAP with mask device.

Section E: Oral fluids and nutrition should always be offered if medically feasible and if they meet the goals of care determined by the patient or surrogate.

Section F: Allow Natural Death if DNAR box is checked. For limited resuscitation measures, document specific limits in writing on the form and verbally with all appropriate staff members.

Section G: "Practitioner" is defined as Physician, Advance Practice Nurse or Physician's Assistant.

POLST must be signed by Physician to be valid. Verbal orders are acceptable with follow-up signature by Physician in accordance with facility community policy.

Revising POLST: It is recommended that POLST be reviewed periodically. Review is recommended when:

- The person is transferred from one care setting or care level to another, or
- There is a substantial change in the person's health status, or
- The person's treatment preferences change.

Modifying and Voiding POLST:

- The Health Care Decision Maker may, at any time, void the POLST form or change his/her mind about his/her treatment preferences by executing a verbal or written advance directive for a new POLST form.
- The Health Care Decision Maker may, at any time, void the POLST form or change his/her mind about his/her treatment preferences by executing a verbal or written advance directive for a new POLST form.
- A Health Care Decision Maker may request to modify the orders based on the known desires of the individual or, if unknown, the individual's best interest.

SEND FORM WITH PERSON WHENEVER TRANSFERRED TO HOSPITAL

**HIPAA PERMITS DISCLOSURE OF POLST TO OTHER HEALTHCARE PROFESSIONALS AS NECESSARY**

### Practitioner Orders concerning Life-Sustaining Treatment (POLST)

**UMCP** UNIVERSITY MEDICAL CENTER AT PRINCETON  
**POLST** First follow these orders, then contact physician. This is a  
**PILOT** Medical Order Sheet based on the person's current medical condition and wishes stated verbally or in written advance directives. Any section not completed implies full treatment for that section.

Last Name \_\_\_\_\_  
 First/Middle Name \_\_\_\_\_  
 Date of Birth \_\_\_\_\_ Date Form Prepared \_\_\_\_\_

**A** GOALS OF CARE (See reverse for instructions. This section does not constitute a medical order.)

**B** Check One

**MEDICAL INTERVENTIONS:** Person has pulse and/or is breathing:

**Life-Prolonging Care** Use all medical and surgical interventions as indicated to support life. If in nursing facility, transfer to hospital if indicated. See below for code status

**Limited Additional Interventions** Use medical treatment, antibiotics, and IV fluids as indicated. Do not intubate. May use non-invasive positive airway pressure. Generally avoid intensive care.

*If in Nursing Facility, Transfer to hospital for medical interventions.*

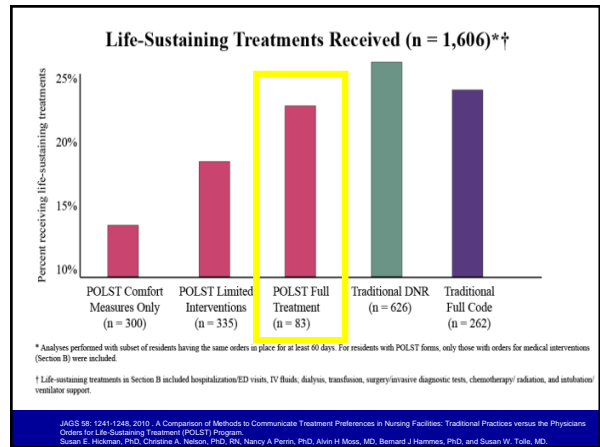
*If in Nursing Facility, Do Not Transfer to hospital for medical interventions. May transfer only if comfort needs cannot be met in current location.*

**Palliative Care Only (comfort care only)** Use medication by any route, positioning, wound care and other measures to relieve pain and suffering. Use oxygen, suction and manual treatment of airway obstruction as needed for comfort. Antibiotics only to promote comfort. Transfer if comfort needs cannot be met in current location.

Additional Orders: \_\_\_\_\_

Order **for** LST

Order **about** LST



**C** Check One

**ARTIFICIALLY ADMINISTERED NUTRITION AND HYDRATION:**  
 Always offer food by mouth if feasible and desired.

No artificial nutrition by tube.  Defined trial period of artificial nutrition by tube.

Long-term artificial nutrition by tube.


Additional Orders: \_\_\_\_\_

**D** Check One

**CARDIOPULMONARY RESUSCITATION (CPR):** Person has no pulse and/or is not breathing

Do Not Attempt Resuscitation/DNAR  Attempt Resuscitation/CPR

Additional Orders: \_\_\_\_\_





E Check One	<b>SIGNATURES:</b> The signature below verify that these orders are consistent with the patient's medical condition, known preferences and best known information:		
	Consent obtained from or discussion held with:	PRINT-Physician/APN/CIPA Name	Phone Number
	<input type="checkbox"/> Patient		
	<input type="checkbox"/> Legal Guardian		
	<input type="checkbox"/> Health Care Agent		
	<input type="checkbox"/> Spouse/Other:	Physician/APN/CIPA Signature (mandatory)	Date/Time
<input type="checkbox"/> Parent of Minor			
<input type="checkbox"/> Written Advance Directive			
<b>SEND FORM WITH PERSON WHENEVER TRANSFERRED TO HEALTH FACILITY</b>			

70% - patient  
30% - surrogate

Patient Name (last, first, middle)	Date of Birth	Gender: M F
Patient Address		
<b>Contact Information</b>		
Health Care Decision maker	Address	Phone Number
Health Care Professional Preparing Form	Preparer Title	Phone Number
		Date Prepared

POLST does not expire  
But should be reviewed with change in patient's condition or location

POLST can be revised or revoked at any time

**POLST**  
**benefits**

Closes gap  
between what  
people **want** and  
what they **get**

UNIVERSITY MEDICAL CENTER AT PRINCETON  
Practitioner Orders concerning Life-Sustaining Treatment (POLST)

UMCP POLST PILOT

First/Last Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date Form Prepared: \_\_\_\_\_

GOALS OF CARE (See reverse for instructions. This section does not constitute a medical order.)

**A**  **Check One**

**B**  **Check One**

**C**  **Check One**

**D**  **Check One**

**E**  **Check One**

SEND FORM WITH PERSON WHENEVER TRANSFERRED TO HEALTH FACILITY

Brightly colored  
Easily identified

UNIVERSITY MEDICAL CENTER AT PRINCETON  
Practitioner Orders concerning Life-Sustaining Treatment (POLST)

UMCP POLST PILOT

First/Last Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date Form Prepared: \_\_\_\_\_

GOALS OF CARE (See reverse for instructions. This section does not constitute a medical order.)

**A**  **Check One**

**B**  **Check One**

**C**  **Check One**

**D**  **Check One**

**E**  **Check One**

SEND FORM WITH PERSON WHENEVER TRANSFERRED TO HEALTH FACILITY

Original MOLST is printed  
on **lilac** heavy card stock  
paper

But a **copy** has the same  
force as the original form

Specific detailed  
instructions

Easy to follow  
No need to "interpret"

# Actionable orders

More likely honored  
No need to “translate”

# Portable

Travels with the patient in **all** treatment settings

Home	LTC
Hospital	EMS

Medical Society of New Jersey  
**MSNJ**

DO NOT RESUSCITATE

ALL FIRST RESPONDERS AND EMERGENCY MEDICAL SERVICES PERSONNEL ARE AUTHORIZED TO COMPLY WITH THIS OUT-OF-HOSPITAL DNR ORDER.

This request for no resuscitative attempts in the event of a cardiac and/or respiratory arrest for: \_\_\_\_\_ has been ordered by the physician whose signature appears below. PLEASE PRINT NAME This order is in compliance with the patient's/surrogate's wishes and it has been determined and documented by the physician below that resuscitation attempts for this patient would be medically inappropriate.

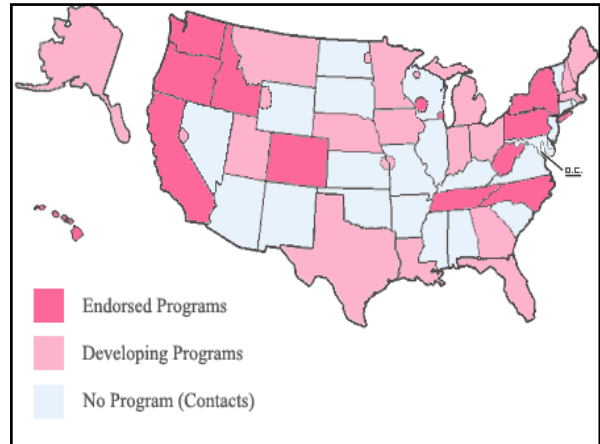
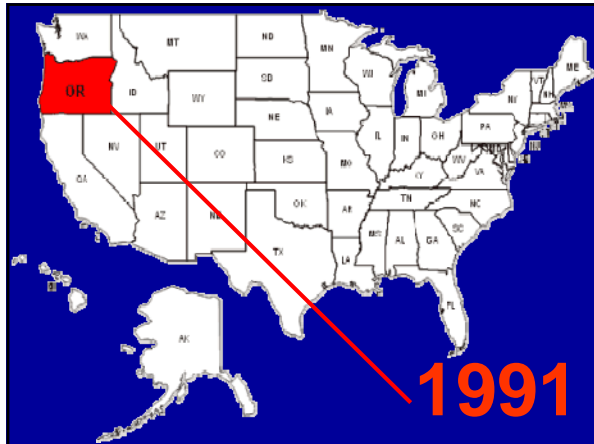
POLST	Pre-Hospital DNR
• Allows for choosing resuscitation	• Can only use if choosing DNR
• Allows for other medical treatments	• Only applies to resuscitation
• Honored across all healthcare settings	• Only honored outside the hospital

## POLST is Evidence Based

- Major academic research in 3 POLST states: strong evidence base of efficacy of POLST in ensuring preferences are elicited, documented, honored, w/ pain and symptom management equivalent to those without POLST order

Hickman et al. "A Comparison of Methods to Communicate Treatment Preferences: Traditional Practices versus the Physician Orders for Life-Sustaining Treatment Program" J Am Geriatr Soc 58:1241-1248, 2010.

# POLST status



**PA** - implementing 2011  
**DE** - implementing 2011  
**MD** - implementing 2011

SENATE COMMITTEE SUBSTITUTE FOR  
**SENATE, No. 2197**  
**STATE OF NEW JERSEY**  
**214th LEGISLATURE**  
ADOPTED MAY 12, 2011  
Sponsored by:  
Senator M. TERESA RUIZ  
District 29 (Essex and Union)  
Senator LORETTA WEINBERG  
District 37 (Bergen)

7/19/2010 Introduced in Senate  
5/12/2011 Reported from Senate HHS Committee  
5/12/2011 Referred to Senate Budget and Appropriations Committee

**PSO**  
Form  
Public awareness  
Training professionals

Patient Safety & Quality  
Act of 2005

Patient Safety and  
Quality Improvement  
Final Rule (2008)

NJHA Institute for  
Quality and Patient  
Safety



Princeton HealthCare System

Redefining Care.

**Thank  
you**



**Widener  
University**

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